Structure of the subduction system in southern Peru from seismic array data

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[1] The subduction zone in southern Peru is imaged using converted phases from teleseismic P, PP, and PKP waves and P wave tomography using local and teleseismic events with a linear array of 50 broadband seismic stations spanning 300 km from the coast to near Lake Titicaca. The slab dips at 30° and can be observed to a depth of over 200 km. The Moho is seen as a continuous interface along the profile, and the crustal thickness in the back-arc region (the Altiplano) is 75 km thick, which is sufficient to isostatically support the Andes, as evidenced by the gravity. The shallow crust has zones of negative impedance at a depth of 20 km, which is likely the result of volcanism. At the midcrustal level of 40 km, there is a continuous structure with a positive impedance contrast, which we interpret as the western extent of the Brazilian Craton as it underthrusts to the west.

1. Introduction

[2] The subduction of the Nazca plate in southern Peru represents a transition region from a shallow-dip system in northern and central Peru to normal dip in southern Peru [Barazangi and Isacks, 1976; Norabuena et al., 1994]. Similar alternating sequences are representative of the subduction of the Nazca plate beneath South America, which have evolved with time [Ramos, 2009]. The flattening of the slab in northern and central Peru has been proposed to be due the subduction of the Nazca Ridge [Gutscher et al., 2000] which has been sweeping southward over the past 10 Myr due to its oblique subduction direction. The subduction angle between the Nazca and South American plates is about 77° resulting in a normal component of subduction of 6.1 cm/yr and tangential velocity of 4.3 cm/yr [Hampel, 2002]. The slab has been progressively flattening in the wake of this feature, and its present configuration is shown in Figure 1, which shows the depth contours of the slab. Also shown is the location of the volcanic arc, which is extinguished in the flat slab regime.

[3] In this paper, we focus on the region of normal-dip subduction south of Nazca Ridge that we assume represents the subduction system before the flattening process. According to Ramos [2009], this region has experienced uninterrupted normal subduction for the past 18 Myr. An alternate model for the flattening of the slab suggests that this zone has a natural cycle of normal/shallow subduction that is driven by lithospheric delamination [DeCelles et al., 2009]. This process is also proposed as the cause of the rapid rise of the Andes in the last 10 Myr [Gregory-Wodzicki, 2000; Garzione et al., 2006, 2008; Ghosh et al., 2006], however, more recent studies now propose the rise was a continuous process over the last 40 Myr, thus obviating the need of a rapid process such as delamination [Barnes and Ehlers, 2009; Ehlers and Poulsen, 2009; McQuarrie et al., 2005; Elger et al., 2005; Oncken et al., 2006]. Results from this study support underthrusting of the Brazilian shield beneath Peru [McQuarrie et al., 2005; Allmendinger and Gubbels, 1996; Horton et al., 2001; Gubbels et al., 1993; Lamb and Hoke, 1997; Beck and Zandt, 2002], which is more consistent with a gradual uplift model for this section of the Altiplano. The eclogitization which would occur in the case of delamination needs a significant amount of water [Ahrens and Schubert, 1975; Hacker, 1996], which is not present in the Brazilian shield crust [Sighinolfi, 1971]. Low silicic content also supports...
eclogitization since the water content of hydrous minerals increases with decreasing silica and increasing alumina [Tassara, 2006]. Both argue that the granulites of the lower Brazilian shield [Sighinolfi, 1971] would be stable as we find here.

[4] To image the subduction zone, a linear array of 50 stations was deployed perpendicular to the subduction trench for a distance of 300 km, with an average interstation spacing of 6 km. This configuration was chosen to provide an unaliased image of the system from the lower crust to the slab. The slab dip is well defined by seismicity down to a depth of 250 km where there is a gap in seismicity. Cross sections and event locations of earthquakes in southern Peru are shown in Figure 2.

[5] In this study, we present a detailed image of the slab and lithosphere based on receiver functions and tomography that establishes the basic structure and properties of the normal-dipping part of the subduction in this region.

2. Data, Methods, and Results

2.1. Receiver Functions

[6] The analysis in this paper is based on over 2 years of data (June 2008 to August 2010) recorded on the array shown in Figure 1. The receiver functions utilize phases from teleseismic earthquakes with distance-magnitude windows designed to produce satisfactory signal to noise with minimal interference by other phases. The phases and their windows are: P waves (>5.8 Mw, 30°–90°), PP waves (>6.0 Mw, 90°–180°) and PKP waves (>6.4 Mw, 143°–180°). In total there were 69 P phases, 69 PP phases, and 48 PKP phase events used and their distribution shown in Figure 3. PKP phases were used because of the large number of useable events that are greater than 90° from Peru (Figure 3). Due to the almost vertical arrival angle of these phases, no conversion is expected at horizontal interfaces such as the Moho, however PKP phases are useful for imaging dipping interfaces such as the slab. Events were selected according to signal quality after band-pass filtering from 0.01 to 1 Hz. Similar, but less resolved results were obtained for a 0.01 – 0.5 Hz passband. An example of the data quality is shown in Figure 4.

[7] Receiver functions are constructed by the standard method described in Langston [1979] and Yan and Clayton [2007]. Source complexities and mantle propagation effects are minimized by deconvolving the radial component with the vertical. Frequency domain deconvolution [Langston, 1979; Ammon, 1991] was used, with a water level cutoff and Gaussian filter applied for stability. A time window of 120 s, a water level parameter of 0.01 and Gaussian filter width of 5 s were used during the deconvolution process. The processing of PKP receiver functions was similar to P and PP phases with the same factors used for deconvolution. Both PKPab and PKPdf branches were included in the analysis. An example of the RFs can be seen in Figure 5, which shows stacked RFs from a NW azimuth to Peru, as well as a single event occurring in New Zealand using the PP phase for comparison. RFs are stacked using the method of Zhu and Kanamori [2000] which uses the converted phase and multiples to obtain estimates of the depth of an interface and average $V_p/V_s$ ratio above the interface by summing along moveouts of the converted phases as a function of ray parameter [Zhu and Kanamori, 2000]. A search is done over a range of depths and $V_p/V_s$ ratios based on stacks of many events from similar back azimuths. Figure 6 shows an example of stacking and grid search for individual stations. Uncertainty estimates are based on the 95 percent confidence interval. A simple migrated image is then constructed by backprojecting the receiver functions along their raypaths. The angle from the station is estimated using the ray parameter and event back azimuth, with corrections for the station elevation. A simple layered velocity model based on IASP91 was used to backproject the rays. This approximation was checked by comparison with other velocity models based on tomography and a thicker crust but the migrated images were found to have Moho depths similar to the results presented here.

2.1.1. Receiver Function Results

[8] An image based on teleseismic P and PP receiver functions produced from data recorded by the seismic array with events from all azimuths is shown in Figure 7. The Moho has an initial depth of around 25 km near the coast and deepens to around 75 km depth beneath the Altiplano. Also evident is a positive impedance midcrustal signal at 50 km depth resembling the 180°/C14–143°/C14 PPK waves, and 69°/C14–143°/C14 PPK waves. The processing of PKP receiver functions was similar to P and PP phases with the same factors used for deconvolution. Both PKPab and PKPdf branches were included in the analysis. An example of the RFs can be seen in Figure 5, which shows stacked RFs from a NW azimuth to Peru, as well as a single event occurring in New Zealand using the PP phase for comparison. RFs are stacked using the method of Zhu and Kanamori [2000] which uses the converted phase and multiples to obtain estimates of the depth of an interface and average $V_p/V_s$ ratio above the interface by summing along moveouts of the converted phases as a function of ray parameter [Zhu and Kanamori, 2000]. A search is done over a range of depths and $V_p/V_s$ ratios based on stacks of many events from similar back azimuths. Figure 6 shows an example of stacking and grid search for individual stations. Uncertainty estimates are based on the 95 percent confidence interval. A simple migrated image is then constructed by backprojecting the receiver functions along their raypaths. The angle from the station is estimated using the ray parameter and event back azimuth, with corrections for the station elevation. A simple layered velocity model based on IASP91 was used to backproject the rays. This approximation was checked by comparison with other velocity models based on tomography and a thicker crust but the migrated images were found to have Moho depths similar to the results presented here.

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Figure 2. (a) Seismicity cross section along the seismic array. Hypocenters projected along the trend of the array are located within 60 km of the line. Earthquakes are from the EHB catalog [Engdahl et al., 1998; Engdahl and Villaseñor, 2002] and are of magnitudes of 5.0 or greater. The black line is the location of the slab from receiver functions. Also shown are the focal mechanisms for several events near the line from the Harvard CMT data set. (b) Locations of local earthquakes, as located by the Instituto Geofísico del Peru (IGP), that have occurred since the installation of the seismic arrays in southern Peru. Small red circles denote the location of seismic stations. The size of the circles representing earthquake locations is scaled by magnitude, and the color corresponds to depth. (c) Focal mechanisms of events from the Harvard CMT database for events shown in Figure 2b.
Figure 3. Location of events used in this study. (a) Teleseismic events between $30^\circ$ and $90^\circ$ distance from Peru used to make $P$ wave receiver functions. (b) Events greater than $90^\circ$ from Peru. The more distant events were used for studying converted arrivals of $PP$ or $PKP$ phases.

Figure 4. Seismic data measured by the array from the magnitude 7.3 earthquake in the Philippines which occurred on 23 July 2010. This section of the seismogram includes arrivals of $PKP$ phases. Some phases are identified on the right of the record section. The distance axis represents distance from a reference point near the end of the seismic line closest to Mollendo on the coast, and the time axis gives the time after the origin time of the event. The band-pass filter used is from 0.01 to 1 Hz. See auxiliary material for an example of a receiver function using the $PKP$ phase.
RF image. Also shown are the crustal $V_p/V_s$ ratios along the line, which have an average value of around 1.75. There are three zone of elevated $V_p/V_s$ ratios in the Altiplano one of which corresponds to the current arc. These are coincident with negative impedances in the upper crust determined from receiver functions (see Figures 5 and 7) and hence are likely related to magmatic processes. This identification is clearest for the anomalies associated with the current arc. The other two may indicate the location of focused magmatic activity in the past. Similar features were observed in northern Chile [Leidig and Zandt, 2003; Zandt et al., 2003].

The dense station spacing allows for an unambiguous tracing of the Moho, slab, and midcrustal feature.

[9] Receiver functions based on the PKP phase show a negative pulse corresponding to the top of the oceanic crust of the descending slab closely followed by a positive pulse at the transition to oceanic mantle. From the teleseismic $P$ and $PP$ phase receiver function results, this double pulse seen in the slab is observed most strongly down to a depth of around 100 km. The receiver function images are consistent with the results of Kawakatsu and Watada [2007] which suggests that this is related to the transport of hydrous minerals in oceanic crust into the subduction zone. The

Figure 5. (a) Receiver functions showing a stack for each station from events located at a northwest back azimuth from Peru. (b) Receiver functions from a magnitude 7.6 New Zealand earthquake on 15 July 2009. Time is shown on the y axis with the $P$ wave arrival occurring at time equals zero. The first positive pulse at 5 s (corresponding to a depth of about 40 km) corresponds to a midcrustal structure. The next arrival which reaches a maximum time of about 9 s (70 to 74 km depth) represents the $P$-s conversion at the Moho. The deepest arrival dipping at about $30^\circ$ corresponds to a signal from the subducting slab.
transition between these signals is consistent with the location of the subducting Nazca plate as described by seismicity in the Wadati-Benioff zone (Figure 9). The seismicity is centered near the transition between the positive and negative pulses. Note a phase difference in the slab signal between the PKP image and P/PP images due to a change in sign of the converted phase because of the steep angle of incidence of incoming PKP waves (see auxiliary material) has been corrected.1

2.1.2. Receiver Function Waveform Modeling

[10] The receiver function images obtained above were checked using 2-D finite difference waveform modeling [Kim et al., 2010]. The 2-D velocity model includes depth information based on receiver function results and velocities consistent with averages taken from Cunningham et al. [1986] for southern Peru, which we modified to include a midcrustal layer contrast to model the positive-impedance feature. The more recent model of Dorbath et al. [2008] was also tested and compared with the southern Peru model (shown in the auxiliary materials) and the results are similar to those shown here. A simplified velocity model, which

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1Auxiliary materials are available in the HTML. doi:10.1029/2012JB009540.
Figure 7. (a) Depth versus distance cross-sectional image from Line 1 based on teleseismic $P$ and $PP$ receiver functions from all azimuthal directions showing the upper 120 km. Depth is the distance below mean sea level. (b) Same as in Figure 7a, showing interpretations of the midcrustal structure (MC) and Moho as well as a signal from the slab.
Figure 8. (a) Teleseismic $P$ wave receiver function image based on events at a northwest azimuth to Peru with elevation along the array shown above. (b) Same image showing interpretation of the Moho, midcrustal structure, slab, and multiple of the midcrustal signal. Moho receiver function stacking results are plotted over the image. Elevation along the seismic line is shown above the image. (c) Average crustal $V_p/V_s$ values (y axis) versus station number (proxy for distance). Orange shading represents high $V_p/V_s$ values, green shading represents midrange values, and light blue shading represents lower $V_p/V_s$ values. The blue line shows the three-point running average of the $V_p/V_s$ values. (d) Map of southern Peru showing a line with colors representing $V_p/V_s$ ratios estimated from stacking of receiver functions. Black triangles represent active and dormant volcanoes in arc.
incorporates average values consistent with these models for the crust, mantle wedge, subducting oceanic crust, and underlying mantle was selected for modeling purposes. The model has dimensions of 500 km horizontal distance by 250 km depth. Synthetic receiver functions are produced with \(P\) wave plane waves with varying ray parameters imposed on the bottom and sides of the model. Seismograms were produced with frequencies up to 1 Hz, and then processed as RFs with the same techniques and parameters used with the real data. A comparison of the synthetic and real receiver functions is shown in Figure 10. The synthetics, which incorporate midcrustal structure are observed to be consistent with RF data and results as seen in Figure 11. They show a positive signal at around 5 s (midcrustal), which is observed in the receiver functions as well as an observed multiple that is not present in models without the midcrustal structure.

The velocity is then combined with a structural model derived from the receiver functions and is tested with a deep local event that occurred beneath the array on the slab interface (Figure 12). The finite difference code is based on the one discussed in Vidale et al. [1985]. The event is Mb 6.0 and is located at a depth of 199 km and about 60 km off the line. The resultant synthetics from finite difference modeling have \(P\) wave arrival characteristics and differential \(P\) to \(S\) wave travel times consistent with the data. The synthetics and data also have a similar arrival caused by a conversion at the Moho which provides confirmation of the Moho depth. An arrival due to phase conversions at the midcrust can be seen in the synthetics and also appears to also be present in the data, particularly toward the inland end of the seismic array suggesting that the midcrustal structure does not underlie the entire seismic array in agreement with receiver function observations.

2.2. \(P\) Wave Tomography

[12] A total of 5677 traveltime residuals including 1674 teleseismic arrivals and 4003 local event arrivals were inverted to obtain the tomographic image shown in Figure 13 using a 2-D tomography program [Husker and Davis, 2009]. The local events are restricted to depths greater than 30 km, and within 125 km of the 2-D line. The 2-D assumption appears justified by gravity based on gravity survey results [Fukao et al., 1989] and GRACE (Gravity Recovery and Climate Experiment) satellite data which show little along-strike gravity variation indicating an approximately 2-D crust, as well as seismicity slab contours which show that the slab can also be considered approximately 2-D within about 100 km of the array. The local earthquakes were first located with an IASPEI [Kennett and Engdahl, 1991] model that took into account the changing Moho depth determined by receiver functions. A finite difference program was then used to relocate the events [Hole
Figure 10
Figure 11
The inversion consisted of six hundred eighty 20 km blocks (20 × 34) and was performed with

damped least squares. In the upper 350 km, the average

cnumber of hits/block was 142. The variance reduction was

88%. The final image was smoothed with a 2 × 2 block

running average.

[13] The tomography results are presented in Figure 13.

Figure 13a shows perturbations as percent deviations rela-
tive to the IASPEI starting model while Figure 13b shows
the absolute P wave velocity. The cross section chosen lies
along a straight line through the station locations. For com-
parison, locations of the Moho and top of the subducting
slab from the receiver function analysis are superimposed on
the figure and show good agreement with the transitions in
the image from low to higher velocities.

[14] A standard checkerboard resolution test is shown in
Figure 14. The results are well resolved in both the hori-
izontal and vertical directions except at depth greater than
350 km on the northern end of the line.

3. Discussion

3.1. Crustal Thickness

[15] Receiver function results for the normal subducting
region of southern Peru show a Moho that deepens from
25 km near the coast to a depth of around 75 km beneath the
Altiplano. Previous estimates of crustal thickness of the
Altiplano are about 70–75 km [Cunningham et al., 1986;
Beck et al., 1996; Zandt et al., 1994]. McGlashan et al.
[2008] also estimated thicknesses from 59 to 70 in south-
ern Peru. The 75 km crust of the Altiplano is approximately
the thickness required for the region to be in Airy isostatic
equilibrium and this is verified with the gravity observations
(Figure 15). One of the major processes which could con-
tribute to this thickness is crustal shortening. Goertberg et al.
[2010] gave a preferred estimate of 123 km of shortening
but said that 240–300 km of shortening would be required
for a 70 km thick crust. Other suggested mechanisms for
producing such a thickness include lower crustal flow,
shortening hidden by the volcanic arc [Goertberg et al., 2010],
thermal weakening [Isacks, 1988; Allmendinger et al., 1997;
Lamb and Hoke, 1997], regional variation in structure from
tectonic events prior to orogeny [Allmendinger and Gubbels,
1996], magmatic additions, lithospheric thinning, upper
mantle hydration [Allmendinger et al., 1997], plate kinem-
atics [Onccken et al., 2006], shortening related to the Arica
bend [Kley and Monaldi, 1998; Goertberg et al., 2010], tec-
tonic underplating [Allmendinger et al., 1997; Kley and
Monaldi, 1998], and other factors. The mechanism of tec-
tonic underplating is supported by our observations of a
midcrustal structure and provides a simple mechanism for
explaining the crustal thickness in southern Peru.

3.2. Midcrustal Structure

[16] The positive impedance structure observable at a
depth of around 40 km is an unusual crustal feature because

the crust does not normally have an interface with a sharp
increase in velocity. One hypothesis that could explain this
feature is underthrusting by the Brazilian Craton. It is gen-
erally accepted that this underthrusting exists as far as the
Eastern Cordillera [McQuarrie et al., 2005; Gubbels et al.,
1993; Lamb and Hoke, 1997; Beck and Zandt, 2002]. Howev-
er, the results presented here appear to support the
idea that it extends further to the west, as was suggested by
Lamb and Hoke [1997]. The midcrustal signal at 40 km
depth is observed continuously across multiple stations on
the eastern half of the array. The Conrad discontinuity,
which is sometimes observed at midcrustal depths of around
20 km was considered but the processes involved in crustal
shortening and thickening are not expected to produce such
a flat and strong positive impedance feature at 40 km. The
strength of the midcrustal signal relative to the Moho signal
(see Figure 6), and the observation that the signal is limited
to the easternmost stations in the array rather than across the
whole array support underthrusting as a more reasonable
explanation. If the Brazilian craton underthrusts as far as the
Altiplano, it would substantially increase the thickness of
the crust under the Altiplano and hence affect the timing of
the rise of the Andes. The rapid rise model of Garzione et al.
[2008], proposes a gradual rise of 2 km over approximately
30 Myr, followed by a rapid rise of 2 km over the last
10 Myr. This is then used as evidence of removal of the
dense lower crust and/or lithospheric mantle [Ghosh et al.,
2006] because it is a process that can result in rapid uplift.
An alternative model of the rise suggests that the total rise
proceeded gradually over 40 Myr. This latter model is
favored by the midcrustal layer found in this study. The
timing of underthrusting and nature of the underthrusting
Brazilian craton suggests that rather than eclogitization and
delamination of the lower crust and mantle lithosphere
resulting in rapid uplift, the process was more gradual. The
underthrusting Brazilian craton would have removed some of
the preexisting lower crust and mantle lithosphere beneath
the Altiplano and replaced it with the Shield crust and
underlying mantle lithosphere, thus contributing to the
crustal thickening observed beneath the Altiplano. Some of
the uppermost crust of the underthrusting Brazilian craton
may have been eroded and deformed. The remainder of the
Shield crust is assumed to be denser than the upper Altiplano
crust resulting in higher seismic velocities. The lithosphere
of the Brazilian craton is suggested to taper off prior to the
subducting Nazca plate as the subducting plate is observed
continuously to 250 km depth and is not impacted by the
underthrusting craton. The western limit of the underthrust-
ing is not well defined in the images but it does not appear
to extend beyond the volcanic arc. The presence of the un-
derthrusting material is not expected to interfere with processes
of arc magmatism.

[17] Comparing the model of evolution in southern Peru
with the overall evolution in the central Andes, several
authors [Allmendinger et al., 1997; Babeyko and Sobolev,
Figure 12
2005] have suggested that there has been north-south variation in mechanisms and rates of crustal thickening and uplift in the central Andes. The tectonic evolution in the Altiplano may have differed from the uplift and evolution of the Puna plateau [Allmendinger et al., 1997]. Babeyko and Sobolev [2005] suggested that the type of shortening (e.g., pure versus simple shear, as discussed by Allmendinger and Gubbels [1996]) may be controlled by the strength of the foreland uppermost crust and temperature of the foreland lithosphere. Hence, a weak crust and cool lithosphere in the Altiplano could be supportive of underthrusting, simple shear shortening, and gradual uplift while further south in the Puna the strong sediments and warm lithosphere supports pure shear shortening, lithospheric delamination and resultant rapid uplift.

[18] In addition to crustal information, receiver functions also show the subducting Nazca plate dipping at an angle of about 30° from both the P/PP and PKP phases for Line 1.

**Figure 12.** Local waveform finite difference modeling of local event near end of Line 1. (a) Map showing event locations provided by National Earthquake Information Center (NEIC) and Instituto Geofisico del Peru (IGP). Also shown is the centroid moment tensor from the Harvard CMT database. (b) Model used in the finite difference modeling. Shaded portions are extensions of the model to avoid artificial reflections. The location of the event at about 199 km depth is shown by the pink circle. (c) Data from a magnitude 6 event occurring on 12 July 2009 aligned by the P wave arrival (1). Time is on the x axis, and distance from the coast is on the y axis. (d) Synthetics also aligned on the P wave arrival (1). The S wave arrival (2) can also be seen as well as signals from the Moho (3) and midcrustal interface (4). (e) Comparison of data and synthetics near the P wave arrival, where the synthetics are in red and the data are shown by the black line.

**Figure 13.** Tomographic image beneath the seismic line using a 2-D tomography code. (a) The results in percent slowness changes from the IASPEI model and (b) the result in absolute velocity. Locations of the Moho and top of the slab from the receiver function study are plotted as white lines. Station locations are shown as black triangles, and local earthquake locations used for tomography are shown as black circles. The image supports the model of a steeply dipping slab and thickened Moho.

**Figure 14.** Tomographic resolution test. The results of a standard checkerboard resolution test are shown corresponding to the tomographic depth cross section for the array. Depth in kilometers is shown on the y axis, and distance in kilometers is on the x axis. (a) The checkerboard input model. (b) The output based on event coverage.
Figure 15. Results from a gravity survey performed along the seismic array by Caltech and UCLA students during a geophysical field course. (a) Observed absolute gravity (m/s²). (b) Free air anomaly (in mGal) relative to the first station, which shows an increase near the start of the line due to uplift near the coast. (c) Topography along the array. (d) Moho estimates from receiver function stacking and Moho depth estimates expected for Airy isostasy relative to a reference station.
Figure 16. Schematic model of receiver function images showing the underthrusting Brazilian shield (colored teal), with light blue representing the upper crust, purple representing the subducting oceanic crust, and green representing mantle. The small area of red represents a possible low-velocity zone at around 20 km depth, which may correspond to magmatism.

Figure 16 shows a cartoon interpretation of the array data. With the exception of the midcrustal positive impedance and its interpretation of underthrusting by the Brazil Craton, the subduction appears to be normal.

4. Conclusions

[19] Receiver function and tomographic studies using data from an array of 50 broadband stations in southern Peru image the region of normal subduction beneath the Altiplano. Both approaches confirm previous estimates of Moho depth beneath the Altiplano which reach a maximum value of about 75 km. The dipping slab is also clearly seen in the images. A positive impedance midcrustal structure at about 40 km depth is seen in the receiver functions indicating an increase in velocity in the lower crust. This feature may be due to underthrusting of the Brazilian shield, previously believed to underlie the Eastern Cordillera but not extend beneath the entire Altiplano.

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References


